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1. Audit Question Set Section 1.3 Duty Holders

Submitted by developer on Fri, 08/04/2016 - 13:54

ASEMS Document Version:

3.0

Effective From:

Monday, 9 January, 2017 - 01:15

Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 1.3.
audit_body_Text:

1.1. These questions address ASEMS Part 1, Clause 1.3 and DSA 01.1, Elements C and K

1.1.1. Organisational leadership, culture, capability and change management

1.1.1.1.

1. Who within the team has responsibility for acquisition safety and where are their responsibilities recorded?
2. Who issued the safety delegations and where did they obtain their authority to do so?
3. On what basis were those receiving safety delegations considered SQEP?
4. How was the scope of their safety responsibilities determined?
5. Have those staff in receipt of delegations signed to indicate that they understand and accept them?
6. How often are delegations reviewed and updated and where is the review periodicity stated?
7. What records of reviews are maintained and are they up to date?
8. How are delegations terminated / reissued following changes in personnel?
9. How are delegations stored and linked to the equipment safety case?

1.1.2. Self - Assurance

1.1.2.1.

1. What internal and / or external oversight has been carried out to assure the adequacy and effectiveness of the above arrangements and their outcomes?

Source URL: <https://www.asems.mod.uk/assurance/questions/audit-question-set-section-13-duty-holders>