

Table of Contents

Table of Contents	1
1. Audit Question Set Section 2.1 Organisation and Arrangements	2
1.1. These questions address ASEMS Part 1, Clause 2.1 and DSA 01.1, Elements A, B, C, H and K.	2
1.1.1. Applicable legislation, defence regulations, policy and guidance	2
1.1.2. Information Management	2
1.1.3. Organisational leadership, culture, capability and change management	2
1.1.4. Supervision and control of activities	2
1.1.5. Self - Assurance	2

1. Audit Question Set Section 2.1 Organisation and Arrangements

Submitted by developer on Wed, 20/04/2016 - 08:13

ASEMS Document Version:

3.0

Effective From:

Monday, 9 January, 2017 - 01:15

Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 2.1.

audit_body_Text:

1.1. These questions address ASEMS Part 1, Clause 2.1 and DSA 01.1, Elements A, B, C, H and K.

1.1.1. Applicable legislation, defence regulations, policy and guidance

1.1.1.1.

1. What has management done to identify standards, regulations, certification and legal requirements needing to be complied with?

1.1.2. Information Management

1.1.2.1.

1. Where are details of compliance status recorded and how are they reported as necessary?
2. What follow up action is undertaken to address areas of weakness and where are details recorded?

1.1.3. Organisational leadership, culture, capability and change management

1.1.3.1.

1. What has management done to foster a positive safety culture?
2. How has management ensured that sufficient resources are in place to enable compliance?
3. How has management informed its teams of the need to comply and the means of demonstrating compliance?

1.1.4. Supervision and control of activities

1.1.4.1.

1. What management oversight and lines of communication have been established to monitor the status of compliance by teams?

1.1.5. Self - Assurance

1.1.5.1.

1. What internal and / or external oversight has been carried out to assure the adequacy and effectiveness of the above arrangements and their outcomes?

Source URL: <https://www.asems.mod.uk/assurance/questions/audit-question-set-section-21-organisation-and-arrangements>