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1. Audit Question Set Section 3.1 Safety and Environmental Management System

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Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 3.1.
audit_body_Text:

1.1. These questions address ASEMS Part 1, Clause 3.1 and DSA 01.1, Elements A - K.

1.1.1. Applicable Legislation, Defence Regulations, Policy and Guidance

1.1.1.1.

1. How do the SEMS ensure compliance with relevant statutory and regulatory requirements?

1.1.2. Information management

1.1.2.1.

1. How is relevant SEMS information communicated to those needing it?

1.1.3. Organisational Leadership, Culture, Capability and Change Management

1.1.3.1.

1. What SEMS arrangements have been put in place at management and team levels?
2. How are SEMS updated /re-issued to keep pace with changes in requirements?
3. How do management link their S&EP objectives into the content of the SEMS?

1.1.4. Personnel Competence and Training

1.1.4.1.

1. How does the SEMS ensure that those requiring S&EP competences, either already have or obtain them?

1.1.5. Risk Assessments and Safety Cases

1.1.5.1.

1. How does the SEMS ensure that S&EP risks are documented and managed appropriately?

1.1.6. Equipment / Materiel and Infrastructure Design and Manufacture

1.1.6.1.

1. How does the SEMS ensure that equipment is designed and manufactured in such a way that S&EP requirements are addressed appropriately; including compliance with relevant legislation, certification, regulations and standards.

1.1.7. Equipment / Materiel and Infrastructure Maintenance

1.1.7.1.

1. How does the SEMS ensure that equipment is maintained in such a way that S&EP requirements continue to be met?

1.1.8. Supervision and Control of Activities

1.1.8.1.

1. How does the SEMS ensure that safe systems of work are in place and that there is sufficient oversight to ensure compliance?

1.1.9. Incident Management and Learning from Experience

1.1.9.1.

1. How does the SEMS ensure that S&EP incidents are managed appropriately?

1.1.10. Emergency Arrangements

1.1.10.1.

1. How does the SEMS ensure that emergency arrangements are in place and are adequate in the event of accidents?

1.1.11. Self - Assurance

1.1.11.1.

1. What internal and / or external oversight has been carried out to assure the adequacy and effectiveness of the above arrangements and their outcomes?

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