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# 1. Audit Question Set Section 3.1 Safety and Environmental Management System

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Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 3.1.  
audit\_body\_Text:

## 1.1. These questions address ASEMS Part 1, Clause 3.1 and DSA 01.1, Elements A - K.

### 1.1.1. Applicable Legislation, Defence Regulations, Policy and Guidance

#### 1.1.1.1.

1. How do the SEMS ensure compliance with relevant statutory and regulatory requirements?

### 1.1.2. Information management

#### 1.1.2.1.

1. How is relevant SEMS information communicated to those needing it?

### 1.1.3. Organisational Leadership, Culture, Capability and Change Management

#### 1.1.3.1.

1. What SEMS arrangements have been put in place at management and team levels?
2. How are SEMS updated /re-issued to keep pace with changes in requirements?
3. How do management link their S&EP objectives into the content of the SEMS?

### 1.1.4. Personnel Competence and Training

#### 1.1.4.1.

1. How does the SEMS ensure that those requiring S&EP competences, either already have or obtain them?

### 1.1.5. Risk Assessments and Safety Cases

#### 1.1.5.1.

1. How does the SEMS ensure that S&EP risks are documented and managed appropriately?

### 1.1.6. Equipment / Materiel and Infrastructure Design and Manufacture

#### 1.1.6.1.

1. How does the SEMS ensure that equipment is designed and manufactured in such a way that S&EP requirements are addressed appropriately; including compliance with relevant legislation, certification, regulations and standards.

### 1.1.7. Equipment / Materiel and Infrastructure Maintenance

#### 1.1.7.1.

1. How does the SEMS ensure that equipment is maintained in such a way that S&EP requirements continue to be met?

#### **1.1.8. Supervision and Control of Activities**

##### **1.1.8.1.**

1. How does the SEMS ensure that safe systems of work are in place and that there is sufficient oversight to ensure compliance?

#### **1.1.9. Incident Management and Learning from Experience**

##### **1.1.9.1.**

1. How does the SEMS ensure that S&EP incidents are managed appropriately?

#### **1.1.10. Emergency Arrangements**

##### **1.1.10.1.**

1. How does the SEMS ensure that emergency arrangements are in place and are adequate in the event of accidents?

#### **1.1.11. Self - Assurance**

##### **1.1.11.1.**

1. What internal and / or external oversight has been carried out to assure the adequacy and effectiveness of the above arrangements and their outcomes?

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