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## 1. Audit Question Set Section 3.9 Internal Audit

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Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 3.9.

audit\_body\_Text:

### 1.1. These questions address ASEMS Part 1, Clause 3.9 and DSA 01.1, Elements B and K.

#### 1.1.1. Information Management

##### 1.1.1.1.

1. Which SEMS audits have been undertaken at OC and Team levels and where are their outcomes recorded?
2. How are corrective actions closed out and where are they recorded?

#### 1.1.2. Self - Assurance

##### 1.1.2.1.

1. What assurance has been undertaken to assure the adequacy and effectiveness of your audits and where are the details recorded?

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