

Table of Contents

Table of Contents	1
1. Audit Question Set Section 5.7 Non-Compliance Reporting	2
1.1. These questions address ASEMS Part 1, Clause 5.7 and DSA 01.1, Elements A, B, C, I and K.	2
1.1.1. Applicable Legislation, Defence Regulations, Policy and Guidance	2
1.1.2. Information Management	2
1.1.3. Organisational Leadership, Culture, Capability and Change Management	2
1.1.4. Incident Management and Learning from Experience	2
1.1.5. Self-Assurance	2

1. Audit Question Set Section 5.7 Non-Compliance Reporting

Submitted by developer on Thu, 21/04/2016 - 08:45

ASEMS Document Version:

3.0

Effective From:

Monday, 9 January, 2017 - 01:15

Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 5.7.

audit_body_Text:

1.1. These questions address ASEMS Part 1, Clause 5.7 and DSA 01.1, Elements A, B, C, I and K.

1.1.1. Applicable Legislation, Defence Regulations, Policy and Guidance

1.1.1.1.

1. What policies and procedures has the team identified for its management of non-compliance reporting and where are they recorded?

1.1.2. Information Management

1.1.2.1.

1. How has the team informed relevant parties of its non-compliance reporting processes and where they can be found?

1.1.3. Organisational Leadership, Culture, Capability and Change Management

1.1.3.1.

1. What corrective actions have management taken to address identified non-compliances and where are the details recorded?

1.1.4. Incident Management and Learning from Experience

1.1.4.1.

1. What actions have management taken to ensure that non-compliances are reported, investigated, corrected and that lessons are learnt?

1.1.5. Self-Assurance

1.1.5.1.

1. How has the team assured itself that its non-compliance management processes are adequate and effective and where are the results recorded?

Source URL: <https://www.asems.mod.uk/assurance/questions/audit-question-set-section-57-non-compliance-reporting>