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1. Audit Question Set Section 6.3 Competence

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Summary:

The Audit Question Set used as assurance in order to adhere to the policy described in policy clause 6.4.

audit_body_Text:

1.1. These questions address ASEMS Part 1, Clause 6.3 and DSA 01.1, Elements A, C, D and K.

1.1.1. Applicable Legislation, Defence Regulations, Policy and Guidance

1.1.1.1.

1. What policy and regulatory requirements related to competence has your team identified and how has it interpreted them for its use?

1.1.2. Organisational Leadership, Culture, Capability and Change Management

1.1.2.1.

1. How has your team ensured that its staff, both individually and as a whole, have the competence necessary to conduct its activities?
2. How does your team monitor changes to its business activities to ensure that it becomes aware of the need for new competences?
3. Where are details of the above recorded?

1.1.3. Personnel Competence and Training

1.1.3.1.

1. Where are details of training completed / outstanding recorded for each individual?
2. Who is responsible for ensuring that only qualified staff carry out particular activities?
3. How are training deficiencies tracked until addressed?
4. Where are details of the above recorded?

1.1.4. Self-Assurance

1.1.4.1.

1. How has the team assured itself that its competence management processes are adequate and effective and where are the results recorded?

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