



DE&S SAFETY & ENVIRONMENTAL PROTECTION LEAFLET 07/2013

MANAGEMENT OF HAZARDOUS SUBSTANCES AND RESTRICTED MATERIALS

Instruction for the Through-Life Management of Hazardous Substances and Restricted Materials

Sponsor: DES TECH-QSEP Hd

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References:

- A. SofS [Secretary of State's Policy Statement on Health, Safety and Environmental Protection in Defence.](#)
- B. DSA01.1 [Defence Policy for Health, Safety and Environmental Protection.](#)
- C. JSP 375 [Management of Health and Safety in Defence.](#)
- D. JSP 418 [Management of Environmental Protection in Defence.](#)
- E. DE&S O&A [DE&S Organisation and Arrangement Statement for HS&EP.](#)
- F. ASEMS [Acquisition Safety and Environmental Management System.](#) [Use Chrome Browser]
- G. KiD [Knowledge in Defence \(previously ASG/AOF\) - Commercial Toolkit.](#)
- H. JSP 515 [Hazardous Stores Information System.](#)
- I. DLF [Defence Logistics Framework](#) (Access through Defence Gateway).
- J. REACH [Registration Evaluation, Authorisation and Restriction of Chemicals](#) Regulation.
- K. JSP 392 [Management of Radiation Protection in Defence.](#)

INTRODUCTION

1. This document provides DE&S guidance for the through-life management of Hazardous Substances and Restricted Materials (HS/RM)¹. It describes a means of managing HS/RM in compliance with the Secretary of State's (SofS) Policy Statement on Health, Safety and Environmental Protection in Defence (Reference A) and implementation of that policy through the Defence Safety Authority (DSA) regulation and guidance publications (Reference B, C and D). Organisations deliver O&A (Organisation and Arrangement) statements which define how the organisation intends compliance, e.g. DE&S O&A Statement (Reference E).

2. In this document, HS/RM are defined as:

a. A Hazardous Substance is any substance, as a mixture or in an article which is very toxic, toxic, harmful, corrosive or irritant or has a Workplace Exposure Limit (WEL) or any other substance that creates a risk to health because of its properties and the way it is used or is present in the workplace.

b. A Restricted Material is one that is banned or controlled by legislation but is still permitted for specific uses.

¹ This document does not identify new requirements. It does identify a coherent means of compliance for hazardous substance management across the TLB. Similar processes and procedures should already be in place and this document intends to provide minimum requirements and procedures for compliance with MOD Policy.

PURPOSE

3. This document aims to provide guidance that, through compliance with legislation and effective and efficient management of hazardous substances and restricted materials, ensures that all appropriate precautions are taken to prevent harm to personnel and protect the environment while maintaining operational capability.

POLICY

4. The Secretary of State's Policy Statement (Reference A) directs that, within the UK, Defence will comply with all applicable legislation (which includes legislation giving effect to the UK's international obligations). Overseas, Defence will apply UK standards where reasonably practicable and, in addition, respond to host nations' relevant Health Safety and Environmental Protection expectations. There are several pieces of legislation which effectively exempt Defence activities or provide relevant derogations; there may also be powers of specific dis-application granted to SoFS in some legislation². In these circumstances, the Policy Statement requires the introduction of Departmental arrangements that produce outcomes which are, so far as is reasonably practicable, at least as good as those required by legislation.

5. Departmental Safety and Environmental Management Systems also require that:
- a. For acquisition of material, equipment and services of all kinds, safety and environmental management is to begin at the requirements definition stage and is to be carried forward through-life to disposal/termination.
 - b. All aspects of maintenance, operation (including military service) and disposal (including through-life disposal of consumables or damaged equipment) are to be considered.
 - c. All new uses of hazardous substances and restricted materials should be avoided, and legacy use should be minimised and cease as soon as possible. These requirements apply even where derogations or exemptions for military purposes have been established. Where disapplication or exemptions exist in legislation, substances/materials shall be used within the allowed scope or restricted use and then only where there is no suitable and adequate alternative to meet operational capability.

RELATIONSHIP TO OTHER POLICY AND GUIDANCE

6. **MOD Policy.** The Defence environment and safety management policy is set by the Defence Safety Authority and is implemented through Reference B. Management requirements for health and safety and environmental protection are delivered through References C and D.

7. **Departmental Procedures.** The DE&S Chief Executive Officer (CEO) has put in place a DE&S O&A Statement (Reference E) that details procedures for management of safety and environmental protection. The O&A Statement mandates the use of the Acquisition Safety and Environmental Management Systems (ASEMS³), Reference F.

8. The MOD is subject to both United Kingdom and European laws regarding Health and Safety at Work including law and regulations relating to the management of hazardous materials⁴. Many processes and procedures could result in injuries to health if adequate precautions are not taken. Adherence to these procedures in no way absolves users from complying with legal requirements relating to Health and Safety at Work.

² Further information can be found in DSA01.2 Ch 6 Policy & Guidance.

³ The Acquisition Safety and Environmental Management System incorporates the Project Oriented Safety Management System (POSMS) and Project Oriented Environmental Management System (POEMS).

⁴ EU law still applies during the BREXIT transition period and is expected to be transposed into UK law thereafter.

APPLICATION

9. It is Departmental policy that staff comply with the MOD's policy, standards and regulations. Those holding safety and environmental delegations are to ensure that in the procuring or supporting equipment and services, they conform to the SofS policy statement and other MOD policy, standards, regulations and departmental procedures.

10. The hazardous substance management instructions set out in this document are aligned with the policy requirements detailed in ASEMS Part 1 and must be followed by all staff associated with the procurement and support of products, equipment and services. They apply to all equipment and services acquired for Government use, supported and managed either directly or by agencies operating on its behalf. Compliance with this leaflet shall not in itself relieve any person from any legal obligations imposed upon them whether by legislation, regulation or common law.

REQUIREMENTS

11. **Operating Centres (OCs).** To ensure compliance with MOD policy and the CEO's O&A Statement, OCs must ensure that their safety and environmental governance arrangements capture the through life management of Hazardous Substances and Restricted Materials (HS/RM) as follows⁵:

- a. Coherent management process for legislation and MOD policy.
 - (1) A process for compliance and non-compliance management.
 - (2) A process for authorising disapplication, derogation and exemptions at an appropriate management level.
 - (3) A process for coordination of hazardous substances and restricted materials reporting as required by MOD Policy or Legislation⁶.
- b. Processes for monitoring and measuring safety and environmental performance for assurance of hazardous substance and restricted material management ensuring:
 - (1) Management process and procedures are commensurate with the risk.
 - (2) New or continued use of a hazardous substance is properly risk assessed, justified and authorised at an appropriate management level.
 - (3) Use is compliant with legislation, standards and MOD policy and regulation.
 - (4) Risks and impacts are managed within safety and environmental management systems.
 - (5) HS Elimination Plans, Obsolescence Plans and Disposal Plans are valid and appropriate.
 - (6) Sufficient information is provided to Duty Holders (DH) and customers to enable safe use and prevention of harm to others and the environment.

12. **Delivery Team⁷ (DT).** To ensure compliance with MOD Policy, DTs must follow the hazardous substances and restricted materials management requirements detailed below:

- a. DTs must ensure that all new uses of hazardous substances and restricted materials are avoided where possible.
- b. DTs must identify all hazardous substances and equipment containing hazardous

⁵ Further guidance on HS/RM through-life management can be found at Annex A.

⁶ Further information can be found in DSA01.2 Ch 6 Policy & Guidance.

⁷ Delivery Teams - Any team responsible for procurement or through life support of products, equipment and services. Although this document is focussed on DE&S delivery it may be considered good practice for other organisations.

substances. The following management processes must be applied:

- (1) Risk Assessments⁸ must be carried out for the new or continued use of hazardous substances. Control measures must be commensurate with the risk and communicated and agreed with the DH/User community.
- (2) Where necessary to meet operational capability, use of the hazardous material needs to be justified and authorised at an appropriate management level, with supporting evidence managed and reviewed through life to disposal. Safety and environmental cases will contain evidence to support safe use of hazardous substances. However, a MOD Technical Dossier (TD)⁹ which is specific to each substance and use should be maintained and contain reference to technical and supporting information and authorised at an appropriate management level¹⁰. A guide for the development of a MOD TD can be found on the [DE&S Safety Portal](#).
- (3) Ensure standard Defence Condition (DEFCON) 68 (Supply of Data for Hazardous Articles, Materials and Substances) and DEFCON 624 (Use of Asbestos) are applied to all contracts in accordance with Commercial Policy (Reference G, Commercial Toolkit on the KiD) or in compliance with Standardised Contracting Policy.
- (4) Ensure Safety Data Sheets (SDS) are requested and uploaded to the Hazardous Stores Information System (HSIS) in accordance with Reference H, to enable risk assessment and safe use. These should be reviewed at each contract award or every 2 years¹¹.
- (5) Ensure that the appropriate Stores Management System¹² correctly identifies hazardous substances and relevant information.
- (6) Ensure Warnings and information on hazardous substances are included with delivered equipment or incorporated in technical publications covering operation, maintenance, packaging, storage and transport as per the Classification, Labelling and Packaging (CLP) Regulations.

c. DTs are responsible for identifying¹³ and managing compliance with applicable legislation and standards. In the ASEMS (Reference F), safety and environmental management procedures SMP01 in POSMS and EMP01 in POEMS require identification and documentation of relevant legislation and standards. Where legislation relates to hazardous substances or restricted materials, they are to identify the impact of compliance and non-compliance on delivered operational capability. Hazards and impacts of use of hazardous substances should be managed through POSMS and POEMS procedures (eg - Hazard Logs and Environmental Features Matrix).

d. DTs must seek assurance that suppliers are compliant with current legislation that applies to the equipments and services they manage. Where HS/RM legislation may impact capability, DTs are to:

- (1) Ensure a risk assessment is carried out.
- (2) Consult with Industry to identify suitable and adequate alternative solutions at

⁸ The Risk Assessment must meet the [ALARP Principle](#). (ASEMS SEP Leaflet 02/2011)

⁹ A Technical Dossier is mandatory for Restricted Substances and best practise for Hazardous Materials.

¹⁰ The Secretary of State, Ministers, Defence Safety Authority or other delegated exemption authorities may authorise deviations from legislation for appropriate levels of risk.

¹¹ In accordance with JSP 515.

¹² Information systems such as the Codification Support Information System (CSIS), and the CRISP, SS3, SSCS and EMSD stores systems.

¹³ It is expected that suppliers would be aware of legislation and standards that apply to the equipment and services they deliver to the MOD.

the earliest opportunity and, where identified and proven to meet MOD specifications, substitute so far as is reasonably practicable.

(3) Where an operational capability may be affected by the legislation, assess whether any derogation, disapplication or exemption within the legislation is tolerable and justifiable

(4) Where equipment or services are not compliant with legislation and there is a significant risk that capability cannot be legally delivered, refer that risk to a higher authority⁹.

e. DTs must engage with the Defence Equipment Sales Authority at the earliest opportunity, to ratify their Disposal Plan in accordance with the Defence Logistics Framework (DLF) (Reference I). Guide to Engineering Activity and Review (GEAR)¹⁴ requires disposal to be considered as early as possible in the project lifecycle, such as at the Assessment Phase. As part of the evidence supporting the safety case, the hazard information will need to be passed to new owners or disposal contractors. Assurance that competent contractors have been used should be included in the Safety Case Report and Disposal Plan. Disposal requirements are detailed in the DLF.

f. DTs must ensure auditable records of their hazardous substance management are maintained and are current, and accurate and available for inspection by Regulators (internal or external), Enforcing Authorities or Auditors.

g. Where there is a legal or MOD policy obligation to manage and report use of hazardous substances, DTs must put in place processes to gather and report the necessary information.

h. Accident and Incident reporting requirements within DE&S are domain specific using the respective reporting systems of Navy Lessons and Incident Management System (NLIMS), Army Incident Notification Cell (AINC)¹⁵, Air Safety Information Management System (ASIMS) and Munitions Incident Database (MID). DTs must monitor and record instances where the inherent performance of their equipment or services impacts capability or results in harm to individuals or the environment, including near misses; using this information to manage risk.

SPECIFIC MANAGEMENT REQUIREMENTS

13. **Asbestos.** There are specific requirements for the management and elimination of asbestos containing material (ACM) and these are detailed at Annex A.

14. **Halons and F-Gases.** There are specific management and reporting requirements for halons and fluorinated gases (F-gases) and these are detailed at Annex B.

15. **Hazardous Substance Management Assurance.** Audit and assurance are integral parts of safety and environmental management systems. Specific guidance on assurance of Hazardous Substance Management is at Annex C.

ENFORCEMENT

16. Management performance of safety and environmental legislation and hazardous substances is included in the DE&S Annual Safety and Environmental Protection Assurance Report delivered to the Permanent Secretary (PUS) and Defence Environment and Safety Committee. Assurance of hazardous substance management will be achieved through audit.

OTHER LEGISLATION

17. Substances may be affected by legislation which could impact on delivered capability. Some

¹⁴ [GEAR Planning tool](#)

¹⁵ Now Part of the new Army Safety Centre (ASCen).

known issues with legislation and substances are captured in Reference D, Leaflet 5, Hazardous Substance and Restricted Materials Management. Hazardous materials may be delivered to the MOD as:

- a. Substances (eg benzene, talc) or;
- b. Substances within mixtures (eg fuel with additives, paints) or;
- c. In articles (eg clothing impregnated with pesticides/biocides, equipments with anti-corrosion treatments like cadmium plating, or in legacy components such as asbestos rotor brake linings).

18. An example of legislation that may impact the supply chain of equipment and services is the REACH Regulation (Reference J). REACH applies to all chemicals imported to or manufactured in the UK and EU. This legislation sets out specific requirements dependant on tonnage, imported or manufactured per product per year, or whether the chemical is a substance of very high concern¹⁶ (SVHC). Issues that impact the MOD include:

- a. The ECHA¹⁷ Registration, Evaluation and Authorisation processes have cost implications that may deter suppliers from manufacturing, importing or using some substances, causing them to become obsolescent. This may impact on delivery of capability and be a significant risk which needs to be managed through ASEMS and Business procedures.
- b. Projects may find alternative substances do not meet their required specification or have not yet been sufficiently tested.
- c. There is considerable reliance on suppliers to manage their supply chain. These risks and impacts will need to be dealt with on a case by case basis and it is recommended that assurance of the management of REACH is sought from the supplier.
- d. Through the MOD REACH Defence Exemption Process, the Secretary of State has authority to provide exemption in the interest of national security. However, this does require significant justification and will require evidence that mirrors the requirements under REACH.

OTHER HAZARDOUS MATERIALS OF SIGNIFICANT CONCERN

19. Radioactive Materials (RAM) are covered by JSP 392 (Reference K), Part 2 Leaflet 1, covers Acquisition of Sources of Ionising Radiation by DTs and is supported by the [DES Radioactive Materials Management Guide](#). In addition to the obligations for hazardous substances, there are requirements for RAM depending on material/activity, which requires engagement with a Radiation Protection Advisor.

FEEDBACK & CONTINUOUS IMPROVEMENT

20. Any comments or suggestions for improvement of this Leaflet should be directed to the QSEP HazMat team, who will maintain them on behalf of the sponsor.

QSEP CONTACTS

Topic	Contact – Post Name	Contact Tel–
REACH/ Hazardous Substances Management	DES EngSfty-QSEP SEP-Reach (MULTIUSER)	030 679 82521
Hazardous Substance Information System	DES EngSfty-QSEP SEP-HSIS Multi (MULTIUSER)	030 679 80993

¹⁶ SVHC have one or more of the following properties: carcinogenic; mutagenic; toxic for reproduction; it is persistent, bio accumulative and toxic; there is scientific evidence of probable serious effects to human health or the environment.

¹⁷ European Chemicals Agency. Post-BREXIT UK arrangements are likely to impose similar or additional costs.

Annexes:

- A. Hazardous Substance Management and Technical Dossiers Guide.
- B. Management of Asbestos Containing Materials.
- C. Management and Reporting of Halons and F-Gases.
- D. Hazardous Substance Management Assurance.
- E. Preparation for Lead (Pb) Restriction.

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Hazardous Substance and Restricted material Management

Further Information & Guidance

1. The DE S QSEP [Hazardous Materials and REACH](#) intranet page contains further guidance on Hazardous Substance and Restricted Material Management including the following:
 - a. [MOD Hazardous Substances and Restricted Materials Technical Dossier and Guidance.](#)
 - b. [REACH Delivery Team Guide & Awareness Training.](#)
 - c. [Example Technical Dossier – Asbestos.](#)
 - d. [HazMat Technical Dossier Presentation.](#)
 - e. [HSIS & Asbestos Masterclass Slides.](#)

2. DE&S [Business Management System](#) (BMS) contains the following HazMat Processes:
 - a. Asbestos Elimination Plan
 - b. Hazardous Substance & Restricted Material Through Life Management.
 - c. HSIS Safety Data Sheet (SDS).
 - d. REACH Defence Exemption.

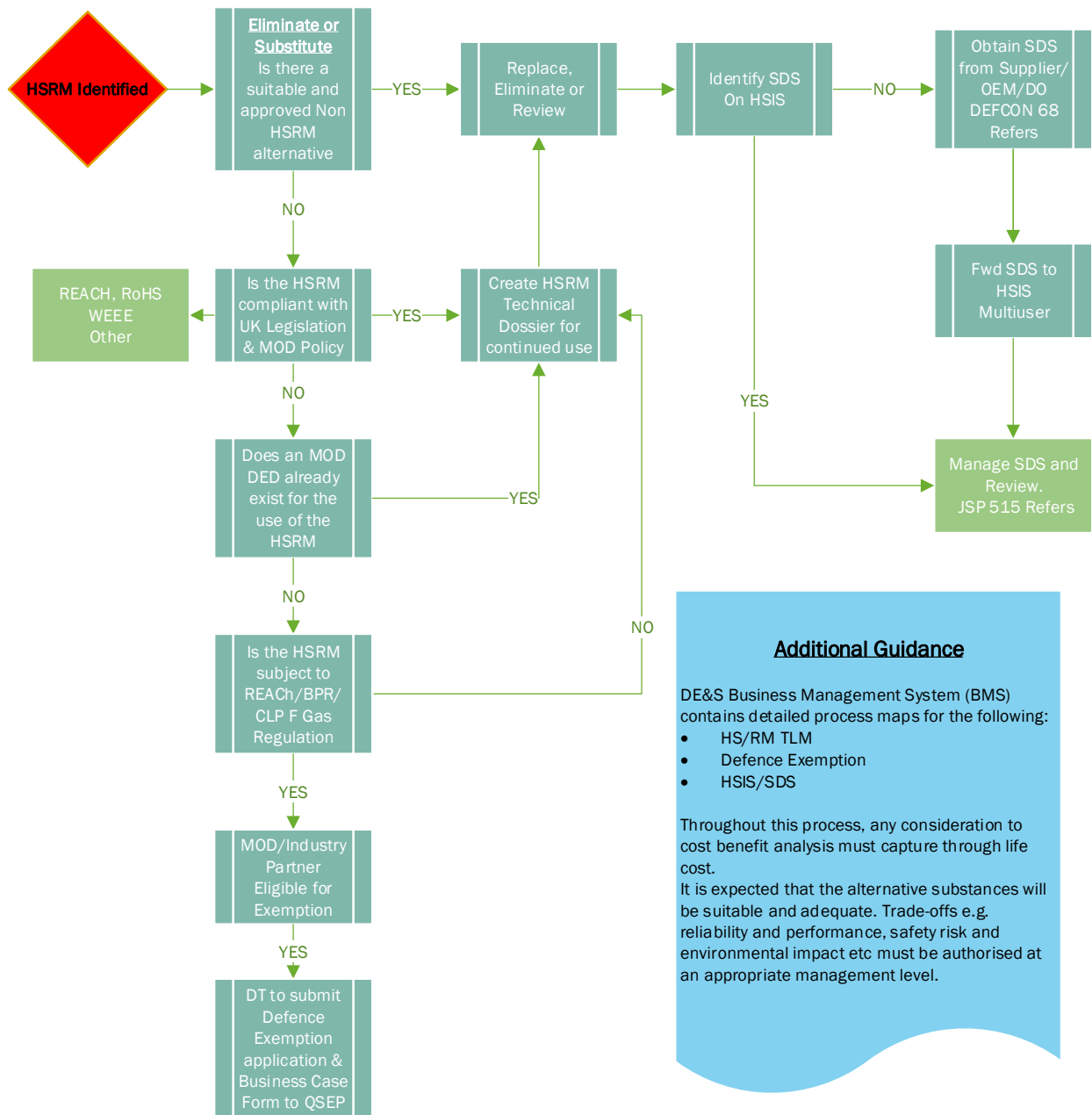


Fig 1. Flow Chart HS/RM Through-Life Management (TLM) guide

MANAGEMENT OF ASBESTOS CONTAINING MATERIALS

References:

- A. JSP 375 Part 2 Vol 1 Chapter 36
- B. JSP 418 Part 2 Leaflet 5
- C. Defence Logistics Framework, Disposal of Inventory

Applicability

1. This Annex applies to Delivery Teams (DTs) managing equipment and components that contains, or may contain, asbestos.

Purpose

2. The purpose of this Annex is to provide advice, guidance and internal procedures for the management and reporting of Asbestos Containing Materials (ACM) in compliance with Legislation and MOD Policy.

MOD Policy

3. Reference A identifies the MOD Policy for compliance with legislation relating to management of asbestos and details of the hazards associated with asbestos. Reference B provides guidance on the management of Hazardous Substances and details the requirements for, and aspects to be included in, a MOD Technical Dossier (TD).

Departmental Procedures

4. Complimenting the hazardous materials requirements contained in this Leaflet, this Annex identifies a means of compliance for management of ACM in equipment to be followed by DTs.

DE&S Instruction

5. DTs shall ensure that, where possible, any new equipment/munitions are asbestos free and:
 - a. Ensure Defence Conditions 68 and 624 are applied to all procurement contracts, iaw Defence Conditions Guide, or compliance with Standardised Contracting Policy.
 - b. Actively seek the cooperation of suppliers to eliminate the use of asbestos.
 - c. Where the use of asbestos in any new equipment/munitions cannot be avoided, a Defence REACH Exemption¹⁸ for the ACM is obtained before procurement.
6. DTs must ensure that ACM is removed or replaced in new or legacy equipment where reasonably practicable and:
 - a. Identify all ACM¹⁹, by line item, in the platforms, vessels, vehicles, systems, munitions and equipment for which they are responsible and maintain an Asbestos Register.
 - b. Produce a MOD TD for each item of ACM, as outlined in the guidance at Annex A. This should also include reference to the REACH-Compliant SDS. This will form the basis for any REACH Defence exemption, if required.

¹⁸ MOD REACH exemption must be fully justified (eg alternatives considered, National Security Interest and capability impact substantiated) and presented to QSEP for review by the REACH Exemption Working Group prior to consideration by the SofS.

¹⁹ Reference A, JSP 375 Part 2 Vol 1 Chapter 36 Para 36.1.11 but may require survey for legacy equipment iaw Para 36.1.10.

- c. Determine if a REACH Defence exemption is required and produce exposition. This should be staffed through the responsible Principal Engineer to QSEP who will review and submit to the Secretary of State for approval. Guidance and a template are available from the [Hazardous Materials and REACH](#) page.
- d. Undertake a risk assessment, including:
- (1) Taking account such factors as the type of asbestos, quantity, how it is contained, general condition, degree of activity on the item, ability to apply adequate controls and technical ability to provide a non-ACM alternative.
 - (2) Having a risk conversation with the duty holder/primary user to ensure the proposed elimination plan maintains the risk as tolerable and As Low As Reasonably Practicable (ALARP).
 - (3) Ensuring the Duty Holder is content and able to manage the residual risk. This will require maintainers to be trained, appropriate facilities and Personal Protective Equipment (PPE) to be provided and warnings and cautions provided through labelling of packaging/and or items, and in technical publications for maintainer and operators.
 - (4) Ensuring all ACMs, or components/equipments that contain ACMs, are suitably labelled and packaged in such a way that personnel shall not be exposed to the component and will not need to break into the packaging before issue or use by competent persons.
- e. Produce an Asbestos Elimination Plan (AEP) describing the plans to eliminate the ACM including any strong justification for retaining ACM items in service. Total elimination may take several years to complete, and the AEP should be inextricably linked to the relevant Safety and Environmental Management Plan (SEMP) and reviewed in line with the SEMP²⁰. It also needs to be linked with the through-life Disposal Plan.

Reporting

7. The MOD is required to annually²¹ report its Asbestos Elimination Programme to DSA with copies to the SofS, HSE and Defra. This is carried out on behalf of the MOD by QSEP Hd. In support of this, Operating Centres and DTs must ensure the live Asbestos Registers (AR) are reviewed and updated monthly.

8. Due to the insidious health effects of asbestos exposure, asbestos remains in the public media and there is a regular requirement to respond to Freedom of Information requests and Parliamentary Questions. This ad hoc reporting may require additional specific information from DTs, but this will be kept to a minimum.

Assurance

9. Operating Centres should provide assurance that DTs are compliant with this instruction.

10. The QSEP Audit Programme will include themed audits which will include through life management of hazardous substances.

Additional Guidance

11. The DE&S Safety Portal hosts a library of guidance material and templates to support DTs in meeting their obligations. QSEP are available to answer specific questions should they arise.

²⁰ [S&EP Leaflet 15/2019 - Safety and Environmental Management Plan Reviews](#)

²¹ Data for each calendar year is reported to DSA by the end of the following March.

MANAGEMENT AND REPORTING OF HALONS AND F-GASES

References:

- A. JSP 418 Leaflet 6 Fluorinated Greenhouse Gases (F-Gases).
- B. JSP 418 Leaflet 7 Ozone Depleting Substances.
- C. JSP 418 Leaflet 7 Reporting Matrix (MS Office Excel Spreadsheet).

MANAGEMENT

MOD Policy

1. The MOD management and reporting requirements for Halons and F-Gases is defined in Reference A and Reference B. This Annex defines the DE&S process for compliance.

Delivery Teams

2. Delivery Teams (DTs) are to ensure that, within their area of responsibility:
 - a. All uses of the substances are identified, described and reported centrally annually, as described below.
 - b. A strategy exists to minimise use and emissions of the fluorinated greenhouse gases and to ensure that more environmentally acceptable alternatives are evaluated and used wherever they are suitable.
 - c. A strategy exists to replace all the identified uses of the Ozone Depleting Substances (ODSs), to support them until they are replaced where this is consistent with MOD policy and permitted under the applicable legislation, and to minimise emissions of the substances to atmosphere.
 - d. A plan exists and is regularly reviewed to implement the strategy in order to comply with MOD Policy and current legislation.
 - e. A communications plan exists to enable information exchange between DTs and Users to ensure use, emissions and reporting requirements are met.

Users

3. All users of the controlled substances and, most notably, the operators of the equipment containing ODSs and F-Gases **must** take all precautionary measures practicable to prevent and minimise any leakages and emissions of controlled substances.

Operating Centres

4. OCs must have a governance process which captures and coordinates the management and reporting process.

REPORTING

Safety and Environmental Protection

5. QSEP have been delegated responsibility for maintenance of ODS and F-Gas Policy Leaflets (Reference A and B). QSEP Hd, as the DE&S Focal Point (TLB Representative), is responsible for coordination of the annual returns and significant accidental or avoidable loss reports.

Delivery Teams

6. **Procurement and Losses.** DTs must notify the DE&S Focal Point of all significant procurements or events that result in a significant accidental or avoidable loss of any F-Gas or ODS²² (as soon as reasonably practicable). The notification of the event should include a concise description of the circumstances, the outcome of the investigation and a description of measures taken to prevent a recurrence.

7. **Annual Report.** The Annual Report for each calendar year is to be collated through OCs and returned, in an electronic format wherever possible, to the DE&S Focal Point by 1 Mar of the following year. Data is to be supplied in the format of the Reporting Matrix at Reference C. DT reporting requirements are to be in accordance with References A and B.

Training

8. F Gas and ODS training requirements are detailed in Reference A and B or temporarily through Defence Instructions and Notices (DINs). Reporting requirements for training courses and certification will also be detailed in those publications.

²² The information/data which operators of equipment containing ozone depleting substances or fluorinated greenhouse gases are mandated to collect and gather must be made available to the competent authority (Defra) and the European Commission if requested.

HAZARDOUS SUBSTANCE MANAGEMENT ASSURANCE

Assurance

1. Audit and Assurance is an integral part of safety and environmental management systems. It may be carried out through the Regulator, TLB, Operating Centre, external or internal review processes. For DE&S, it provides safety and environmental assurance to Senior Management of the status of safety and environmental delivery and culture within their areas of responsibility. To ensure hazardous substance management is included in the through life assurance processes, an exemplar question set focused on the requirements of this leaflet has been developed.

Operating Centre Question Set

	Question	Result
COHERENT MANAGEMENT PROCESS FOR LEGISLATION AND MOD POLICY		
1	Does the OC O&A statement identify how the OC intends meeting the General Requirements for S&EP responsibilities mandated by the CEO's O&A Statement, and processes for:	
1a	Compliance and non-compliance management?	
1b	Authorisation of disapplication, derogation and exemptions at an appropriate management level?	
1c	Coordination of hazardous substances and restricted materials reporting as required by MOD Policy or Legislation?	
MONITORING AND MEASURING SAFETY AND ENVIRONMENTAL PERFORMANCE		
2	Are there processes for monitoring and measuring safety and environmental performance that addresses management of hazardous substances, through life and do they assure that:	
2a	They are commensurate with the risk?	
2b	New or continued use of hazardous substances are properly risk assessed?	
2c	The justification and approval processes have been followed and have been authorised at an appropriate management level?	
2d	Compliance with Legislation, Standards and MOD Policy has been maintained?	
2e	Risks and environmental impacts are managed within the appropriate safety and environmental management systems?	
2f	Elimination Plans, Obsolescence Plans and Disposal Plans have been developed, and are valid and appropriate?	
2g	Sufficient information has been provided to customers to enable safe use and prevention of harm to others and the environment?	

Procurement Team Question Set

	Question	Result
HAZARDOUS SUBSTANCES AND RESTRICTED MATERIALS MANAGEMENT REQUIREMENTS		
3	Does the DT have a process for the management of hazardous substances and restricted materials?	
4	Is there evidence that all new uses of hazardous substances and restricted materials are avoided where possible?	
5	Is there evidence that all hazardous substances and equipment containing hazardous substances are identified, and:	
5a	Is there evidence that DEFCON 68, 624 or Standardised Contracting terms and conditions have been applied?	
5b	Has SDS been logged in HSIS and reviewed in accordance with JSP 515?	
5c	Have Risk Assessments been carried out for the new or continued use of hazardous substances?	
5d	Are control measures commensurate with the risk and accepted by the Duty Holder/primary user?	
5e	Is hazardous material use justified and authorised at an appropriate management level?	
5f	Is there evidence that use of hazardous substances is captured in the Safety and Environmental cases?	
5g	Is there evidence that warnings and information on hazardous substances have been included in labelling of delivered equipment or services publications covering operation, maintenance, packaging, storage and transport delivered equipment or services?	
5h	Where the substance is ACM, is it recorded in the Live Asbestos Register with an associated MOD Technical Dossier and Asbestos Elimination Plan (linked to the Safety and Environmental Management Plan)?	
6	Do the safety and environmental management systems (EMP01/SMP01) identify applicable legislation and standards and:	
6a	Where the legislation applies to hazardous substances, is there evidence of the impact of that legislation on the use of hazardous substance?	
6b	Is the environmental impact or hazard reflected in the environmental or safety cases (Hazard Log or Environmental Features Matrix)?	

	Question	Result
6c	Is there evidence that, where an operational capability may be affected by the legislation, an assessment as to whether any disapplication or exemption within the legislation is tolerable and justifiable has been carried out?	
6d	Where equipment or services are not compliant with legislation has the risk been referred to a higher authority?	
7	Is there evidence that Delivery Teams have sought assurance that their suppliers are compliant with current Legislation and:	
7a	Is there evidence of consultation with Industry to identify suitable and adequate alternative solutions at the earliest opportunity?	
7b	Where identified and proven to meet MOD specifications, has the hazardous substance been substituted, so far as is reasonably practicable?	
8	Is there evidence of a Disposal Plan and does the plan include:	
8a	Engagement with Defence Equipment Sales Authority?	
8b	Solutions for disposal both through life and end of life?	
8c	Where disposal has taken place is there assurance that the correct disposal process and competent contractors have been used?	
9	Is there evidence that auditable records of hazardous substance management are maintained and:	
9a	Where there is a legal or MOD policy obligation to manage and report use of hazardous substances, is the documentation current, and accurate?	
9b	Is there evidence of inspection or assurance by Regulators (internal or external), Enforcing Authorities or Auditors?	

Preparation for Lead (Pb) Restriction.

Reference A: [Lead \(Pb\) Register of Unique Uses](#)

Applicability

1. This Annex applies to Delivery Teams (DTs) managing equipment and components that contains, or may contain, Lead (Pb).

Purpose

2. The purpose of this document is to formalise the requirement outlined at Para 6 and to capture at Ref A any unique uses of Lead as detailed at para 6(a). This information will be used by QSEP to determine the next steps such as where any disapplication, derogation or exemption measures will be necessary.

Reporting

3. Lead is currently identified on the REACH candidate list as a substance of very high concern (SVHC). If Lead is subsequently recognised as a priority substance to be included in the Authorisation List (Annex XIV), a sunset date will be assigned by which time Lead products will be prohibited from use unless appropriate authorisations are in place.

4. With the inclusion of Lead on the candidate list suppliers of articles containing >0.1% by weight of Lead must inform their customers in the supply chain. This additional reporting requirement emphasises the importance of maintaining accurate MOD records to maintain compliance with ever changing legislation.

5. Due to the short timescale between a substance entering the Authorisation List and its Sunset Date there is a risk that this will not allow enough time to develop Lead free alternatives for some Military Capabilities. This is of particular concern where unique uses of equipment procured by DTs for front-line commands are not supported by an Original Equipment Manufacturer (OEM) or Design Organisation (DO), in which case the responsibility to pursue authorisation for continued use would lie with the DT.

Delivery Teams

6. DTs are to begin preparations in anticipation for increased Lead restriction, and where necessary carry out the critical next steps ahead of Lead entering the Authorisation list, to ensure continued capability for front-line commands, which include:

- a. Identification of all unique military applications of Lead (including substances and articles) that are not supported by OEM/DO and record on Ref B.
- b. Initiate activities to identify applications where Lead-free alternative substances can be used.

c. Engage with their respective OEM/DO to ensure that in cases where Lead is used in equipment the risks of a Lead Authorisation have been fully captured and seek assurance that lead-free alternatives are being explored, as necessary.

Assurance

7. Operating Centres should provide assurance that DTs are compliant with this instruction.
8. The QSEP Audit Programme will include themed audits which will include through life management of hazardous substances.